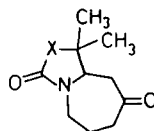
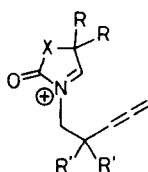


EFFICIENT HETEROCYCLISATION VIA 2-AZA-COPE REARRANGEMENTS  
 OF  $\alpha$ -ACYLIMINIUM INTERMEDIATES. FORMATION OF PYRROLIZIDINES  
 AND SOME THIA-ANALOGUES

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Abstract: HCOOH-ring closures of OH-lactams **7** possessing an allyl substituted alkene function solely afford pyrrolizidines **8** and **9** in high yield via 2-aza-Cope rearrangement and ensuing  $\alpha$ -acyliminium cyclisation.

$\alpha$ -Acyliminium ions have proved previously to be versatile intermediates for  $\pi$ -cyclisation<sup>2</sup>. So far mostly 6-ring formation by intramolecular capture of  $\pi$ -nucleophiles has been observed<sup>3</sup>. Other types of ring closure, notably the preferential formation of pyrrolidines, are also documented<sup>4</sup>, both with  $\alpha$ -acyliminium initiating centres<sup>5</sup> as well as iminium<sup>6</sup> and nitrilium<sup>7</sup> and  $\alpha$ -acyl- $\alpha$ -thia-carbocation<sup>8</sup> intermediates. Similar types of reaction in the carbocyclic series leading to the cyclopentane skeleton have also come to development<sup>9</sup>.



1 X = CH<sub>2</sub>, R = R' = H

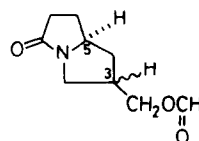
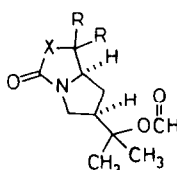
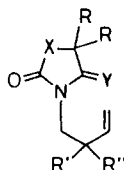
5 X = CH<sub>2</sub>

2 X = CH<sub>2</sub>, R = H, R' = CH<sub>3</sub>

6 X = S

3 X = CH<sub>2</sub>, R = CH<sub>3</sub>, R' = H

4 X = S, R = CH<sub>3</sub>, R' = H



6 Y = O    a X = CH<sub>2</sub>, R = H, R' = R'' = CH<sub>3</sub>

8 a X = CH<sub>2</sub>, R = H

9 a  $\alpha$ -H<sub>3</sub>

7 Y = H, OH    b X = CH<sub>2</sub>, R = R' = H, R'' = OCH<sub>3</sub>

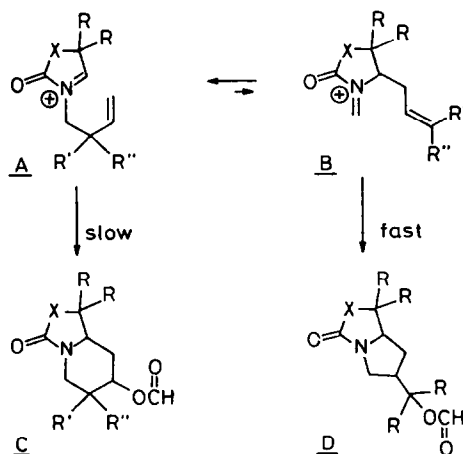
b X = S, R = CH<sub>3</sub>

b  $\beta$ -H<sub>3</sub>

Recently the behaviour of the allene moiety as a terminator was discussed<sup>10</sup> which was shown to yield products derived from aza-Cope rearrangements. Whereas the monosubstituted allene 1 gave rise to partial formation of ring-opened products the introduction of Me groups at the allyl position (e.g. 2) led to high yields of pyrrolizidines formed in an ensuing  $\alpha$ -acyliminium cyclisation. To determine the behaviour of the allene moiety in ring substituted  $\alpha$ -acyliminium compounds 3 and 4 a similar ring closure was carried out which surprisingly only afforded the seven membered ketones 5 and 6. Presumably the gem-diMe function effectively hinders the initial C-C bond formation on the central carbon atom of the rigid allene function thereby initiating the formation of secondary products derived from a reaction at the terminal methylene of the allene moiety followed by solvent capture of the intermediate vinyl cation<sup>11</sup>. In view of the unique behaviour of 2 in the aza-Cope rearrangement a decisive role of the substitution pattern on the allylic carbon of the  $\pi$ -nucleophile could also be envisaged. This was confirmed experimentally by the following sequence of reactions.

The cyclisation to the pyrrolidine ring is assumed to occur via the primary  $\alpha$ -acyliminium ion B (scheme I) possessing the electron-rich diMe-alkene function. A plausible mode for the generation of B could start with an aza-Cope rearrangement of the first-formed secondary  $\alpha$ -acyliminium ion A. If the latter process A  $\rightleftharpoons$  B and the ensuing ring closure B  $\rightarrow$  D are fast compared to the normal mode of cyclisation A  $\rightarrow$  C the formation of the pyrrolizidines D could be achieved in a controlled manner. Moreover the result would provide additional information on the irreversible trapping of less stable primary  $\alpha$ -acyliminium ions by incorpor-

Scheme I



ating latent stabilizing functionalities<sup>12</sup>.

The starting lactams 7 are obtained via  $\text{NaBH}_4/\text{H}^+$  reduction<sup>13</sup> of the corresponding imides<sup>14</sup>. Subsequent  $\text{HCOOH}$  cyclisation of 7a proceeded fast and exothermally (5 min, r.t.) and led to a quantitative formation of pyrrolizidine 8a in a stereocontrolled fashion. The structure of 8a was evident from 250 MHz  $^1\text{H}$ -NMR and  $^{13}\text{C}$ -NMR-analysis. The relative stereochemistry at C-3 could unambiguously be established by NOE-Difference-spectroscopy. 8a: m.p. 58.5-60.5°C (diisopropylether, dipe);  $^1\text{H}$ -NMR ( $\text{CDCl}_3$ ):  $\delta$  7.90 (s,  $\text{OOCH}$ ); 3.88 (m,  $\text{H}_5$ ); 3.40 (d of d,  $\beta\text{-H}_2$ ,  $J=8.6$  and  $11.0$  Hz); 3.08 (t,  $\alpha\text{-H}_2$ ,  $J=10.6$  Hz); 2.72 (m,  $\text{H}_3$ ); 1.97 (m,  $\alpha\text{-H}_4$ ); 1.45 (s, 6H,  $\text{CH}_3$ ); 1.29 (q,  $\beta\text{-H}_4$ ,  $J=10.5$  Hz). IR( $\text{CHCl}_3$ ): 1720 and 1675  $\text{cm}^{-1}$  ( $\text{C=O}$ ). In extending the methodology the 2-oxo-thiazolidine carbinol 7c in the same manner stereoselectively afforded the bicyclic product 8b in 66% isolated yield ( $\text{HCOOH}$ , r.t. 1.75 hr). 8b: m.p. 100.0-102.0°C (dipe);  $^1\text{H}$ -NMR( $\text{CDCl}_3$ ):  $\delta$  8.00 (s,  $\text{OOCH}$ ); 4.00 (d of d,  $\text{H}_5$ ,  $J=7.0$  and  $10.0$  Hz). 3.42 (m, 2H,  $\text{H}_2$ ); 2.83 (m,  $\text{H}_3$ ); 1.50-1.55 (4s, 12H,  $\text{CH}_3$ ). IR( $\text{CHCl}_3$ ): 1720 and 1665  $\text{cm}^{-1}$  ( $\text{C=O}$ ). From this result it follows that the presence of a gem-diMe function at the ring carbon next to the iminium site does not raise a steric barrier to the aza-Cope process as was found in the cyclisation of the allenes 3 and 4.

In a similar fashion the lactam 7b was cyclized in  $\text{HCOOH}$  (18 hr, r.t.) to furnish after hydrolysis a 5:1 mixture of epimers 9 in 55% total yield (not optimized). Tentative assignment of stereochemistry of the epimeric aldehydes 9a and 9b occurred on the basis of 250 MHz  $^1\text{H}$ -NMR analysis and upon comparison with other results (vide supra). Thus the major compound of the C-3 epimer mixture consisted of isomer 9a. In addition only small amounts (ca 3%) of 5/6 fused bicyclic formate were produced, probably resulting from a 6-endo-trig cyclisation. ( $\text{A} \rightarrow \text{C}$ , Scheme I). 9a:  $^1\text{H}$ -NMR( $\text{CDCl}_3$ ):  $\delta$  9.53 (d,  $\text{CHO}$ ),  $J=1.5$  Hz); 3.95 (m,  $\text{H}_5$ ); 3.87 (d of d,  $\beta\text{-H}_2$ ,  $J=4.4$  and  $10.9$  Hz); 3.10-3.30 (m, 2H,  $\alpha\text{-H}_3$  and  $\alpha\text{-H}_2$ ); 1.59 (m,  $\beta\text{-H}_4$ ). 9b:  $^1\text{H}$ -NMR( $\text{CDCl}_3$ ):  $\delta$  9.62 (br.s,  $\text{CHO}$ ); 1.08 (m,  $\beta\text{-H}_4$ ).

From the present results it may be concluded that certain types of allylic substitution of a butenyl N-substituent can change the usually observed pattern of N-acyliminium olefin cyclisations. It has to be kept in mind, however, that a single allylic alkyl substituent leads to normal type of ring closure without

a trace of aza-Cope derived products<sup>16</sup>. In view of the importance to develop novel methods for the controlled synthesis of substituted pyrrolizidines other types of allylic substituents are currently under investigation.

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